UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF INDIANA FORT WAYNE DIVISION

LIGTEL COMMUNICATIONS, INC.,

Plaintiff,

v.

Case No. 1:20-cv-00037-HAB-SLC

BAICELLS TECHNOLOGIES INC.; BAICELLS TECHNOLOGIES NORTH AMERICA INC.,

Defendants.

STIPULATED PROTECTIVE ORDER

This matter is before the Court on the parties' Joint Motion for Entry of Stipulated Protective Order. The parties jointly request that the Court enter this Stipulated Protective Order in order to expedite the flow of discovery material, facilitate the prompt resolution of disputes over confidentiality, protect material entitled to be kept confidential, and ensure that protection is afforded only to material entitled to such treatment. Upon review of the instant Motion and noting the agreement of the parties, the Court hereby **GRANTS** the Joint Motion for Entry of Stipulated Protective Order, and hereby **ORDERS**:

I. INTRODUCTION

The parties, pursuant to Fed. R. Civ. P. 26(c)(1), hereby stipulate to the following provisions of this Protective Order.

II. SCOPE OF PROTECTED INFORMATION

In the course of discovery in this action, the parties may be required to produce information that constitutes, in whole or in part, protected information such as trade secrets, non-

public research and development, commercial or financial information, or other information that may cause harm to the producing party or a non-party. Without waiving any rights or objections to such production, the parties anticipate possible production of the following categories of protected information: (1) trade secrets, (2) financial or business plans or projections, (3) technical information and specifications, (4) information concerning business and marketing strategy, (5) client/customer information and communications, including but not limited to data, statistics, and other information regarding clients/customers, (6) information regarding regulatory compliance, (7) information submitted to any governmental or regulatory agency, which information is exempt from public disclosure, and (8) other commercially or personally sensitive information.

III. DESIGNATION OF PROTECTED INFORMATION

A. Scope: This Order governs the production and handling of any protected information in this action. Any party or non-party who produces protected information in this action may designate it as "Confidential," or, if information related to trade secrets, as "Attorneys' Eyes Only," consistent with the terms of this Order. For purposes of this Order, any information designated as "Confidential" or as "Attorneys' Eyes Only" is referred to herein as "Designated Information." "Designating Party" means the party or non-party who so designates the Designated Information; "Receiving Party" means the party or non-party to whom such Designated Information was produced or disclosed. Whenever possible, the Designating Party must designate only those portions of a document, deposition, transcript, or other material that contain protected information and refrain from designating entire documents. Regardless of any designations made hereunder, the Designating Party is not otherwise restricted from use or disclosure of its own Designated Information outside of this action. In addition, any party may

move to modify or seek other relief from any of the terms of this Order if it has first tried in writing and in good faith to resolve its needs or disputes with the other party or parties pursuant to the terms of this Order and N.D. Ind. L.R. 37-1.

- B. <u>Application to Non-Parties</u>: Before a non-party is given copies of Designated Information as permitted hereunder, subject to the terms and exceptions set forth in Sections V.B and V.C of this Order below, such non-party must first sign the acknowledgment to be bound to these terms that is attached hereto as <u>Exhibit A</u>; if it fails to do so, the parties to this action must resolve any such dispute before making disclosure of Designated Information as permitted hereunder to the non-party. If a non-party wishes to make designations hereunder, it must first sign the acknowledgment to be bound to these terms that is attached hereto as Exhibit A.
- C. <u>Timing and Provisional Protection:</u> Designations may be made at any time. To avoid potential waiver of protection hereunder, the Designating Party should designate information at the time of production or disclosure, including on the record during the taking of any testimony. Deposition testimony will be deemed provisionally protected for a period of seven (7) days after the transcript is released to the parties by the court reporter, although the parties may agree at any time to different timelines of provisional protection of information as Confidential or Attorneys' Eyes Only as part of one or more specific depositions. To retain any designations beyond the provisional period, a Designating Party must designate specific pages and lines of deposition testimony before the provisional period has expired. Such designations must be made in writing so that all counsel and court reporters may append the designation to all copies of the transcripts.
- D. <u>Manner of Designation</u>: Information may be designated hereunder in any reasonable manner or method that notifies the Receiving Party of the designation and identifies

with specificity the information to which the designation applies. If made verbally, the Designating Party must promptly confirm in writing the designation. Whenever possible, the Designating Party should stamp, affix, or embed a legend of "CONFIDENTIAL" or "ATTORNEYS' EYES ONLY" on each designated page of the document or electronic image.

IV. CHALLENGES TO DESIGNATED INFORMATION

In the event that a Receiving Party disagrees at any time with any designation(s) made by the Designating Party, the Receiving Party must first try to resolve such challenge in good faith with the Designating Party pursuant to N.D. Ind. L.R. 37-1. At all times, the Designating Party carries the burden of establishing the propriety of the designation. Unless and until the challenge is resolved by the parties or ruled upon by the Court, the Designated Information will remain protected under this Order. The failure of any Receiving Party to challenge a designation does not constitute a concession that the designation is proper or an admission that the Designated Information is otherwise competent, relevant, or material.

V. LIMITED ACCESS/USE OF PROTECTED INFORMATION

A. Restricted Use: Information that is produced or exchanged in the course of this action and designated under this Order may be used solely for the preparation, trial, and any appeal of this action, as well as related settlement negotiations, and for no other purpose, without the written consent of the Designating Party. No Designated Information may be disclosed to any person except in accordance with the terms of this Order. All persons in possession of Designated Information agree to exercise reasonable care with regard to the custody, use, or storage of such information to ensure that its confidentiality is maintained. This obligation includes, but is not limited to, the Receiving Party providing to the Designating Party prompt notice of the receipt of any subpoena or other demand that seeks production or disclosure of any

Designated Information and consulting with the Designating Party before responding to any such subpoena or demand. Any use or disclosure of Designated Information in violation of the terms of this Order may subject the disclosing person or party to sanctions.

- B. <u>Access to Confidential Information:</u> The parties and all persons subject to this Order agree that information designated as Confidential may only be accessed or reviewed by the following:
 - 1. The Court, its personnel, and court reporters;
 - 2. Counsel of record for any party in this action and their employees who assist counsel of record in this action;
 - 3. The parties, including their agents and employees who are assisting or have reason to know of this action, so long as each such agent or employee has signed the acknowledgment to be bound to these terms that is attached hereto as Exhibit A;
 - 4. Experts or consultants employed by the parties or their counsel for purposes of this action, so long as each such expert or consultant has not been affiliated (other than for purposes of this action) with the party that did not produce the information designated "Attorneys' Eyes Only" and has signed the acknowledgment to be bound to these terms that is attached hereto as Exhibit A; and
 - 5. Other witnesses and individuals noticed for depositions, so long as each such witness or individual has signed the acknowledgment to be bound to these terms that is attached hereto as Exhibit A.

- C. <u>Access to "Attorneys' Eyes Only" Information:</u> The parties and all persons subject to this Order agree that information designated as "ATTORNEYS' EYES ONLY" may only be accessed or reviewed by the following:
 - 1. The Court, its personnel, and court reporters;
 - Counsel of record for any party in this action and their employees who assist counsel of record in this action and are informed of the duties hereunder;
 - 3. Experts or consultants employed by a party's counsel for purposes of this action, so long as each such expert or consultant has signed the acknowledgment to be bound to these terms that is attached hereto as Exhibit A;
 - 4. Other witnesses and individuals noticed for depositions who are affiliated with the party that produced the information designated "Attorneys' Eyes Only"; and
 - 5. Representatives for the party designating the information as "Attorneys' Eyes Only."
- D. Review of Witness Acknowledgments: At any time, any Designating Party may demand to review all copies of Exhibit A in any Receiving Party's possession. The Receiving Party must, within seven (7) business days of the demand, provide all such copies to the Designating Party making the demand. Notwithstanding the foregoing, if the Receiving Party has retained an expert whose identity has not yet been disclosed to the Designating Party, the Receiving Party may generically identify how many acknowledgments that it has in its possession attributable to non-disclosed experts, whose acknowledgements must later be

provided contemporaneously with any reports issued by one or more of said experts. If a Receiving Party is not required to disclose the identity of any consulting experts, it may not be compelled to produce any acknowledgments from those experts to the Designating Party. However, if the Designating Party provides to the Court evidence of breach of this Order via unauthorized leak of Designated Information, the Court may require an *in camera* production of all acknowledgments held by a Receiving Party in order to determine breach and consider enforcement of this Order.

- D. <u>Non-Waiver Effect of Designations:</u> Neither the taking of, nor the failure to take, any action to enforce the provisions of this Order, nor the failure to object to any designation, will constitute a waiver of any party's claim or defense in this action or any other action or proceeding, including but not limited to a claim or defense that any Designated Information is or is not confidential, is or is not entitled to particular protection, or embodies or does not embody information protectable by law.
- E. <u>In-Court Use of Designated Information</u>: If Designated Information will or may be offered in evidence at a hearing or trial, then the offering party must give reasonable advance notice to the Designating Party prior to offering the Designated Information at the hearing or trial so that any use or disclosure may be addressed by the parties and, if necessary, the Court, in advance of the hearing or trial.

Nothing in this Order shall be construed as a waiver by a party of any objections that may be raised as to the admissibility at a hearing or trial of any evidentiary materials.

VI. CLAW-BACK REQUESTS

A. <u>Failure to Make Designation</u>: If, at any time, a party or non-party discovers that it produced or disclosed protected information without designation, it may promptly notify the

Receiving Party and identify with particularity the information to be designated (the "Claw-Back Notification"). The Receiving Party may then request substitute production of the newly-designated information. Within 30 days of receiving the Claw-Back Notification, the Receiving Party must (1) certify to the Designating Party it has appropriately marked or, if substitute production has been requested, destroyed all unmarked copies that it received, made, and/or distributed; and (2) if it was practicably unable to mark or destroy any information because disclosures occurred while the Receiving Party was under no duty of confidentiality under the terms of this Order regarding that information, the Receiving Party must reasonably provide as much information as practicable to aid the Designating Party in protecting the information, consistent with the Receiving Party's attorney-client, work-product, and/or trial-preparation privileges.

B. <u>Inadvertent Production of Privileged Information</u>: If, at any time, a party discovers that it produced information that it reasonably believes is subject to protection under the attorney/client, work-product, or trial-preparation privileges, then it must promptly notify each Receiving Party of the claim for protection, the basis for it, and comply with Fed. R. Civ. P. 26(b)(5). Whenever possible, the producing party must produce substitute information that redacts the information subject to the claimed protection. The Receiving Party must thereupon comply with Fed. R. Civ. P. 26(b)(5) as to the information subject to the claimed protection. The parties must also comply with N.D. Ind. L.R. 37-1 before seeking Court intervention to resolve any related dispute.

VII. DURATION/CONTINUED RESTRICTIONS

A. <u>Handling of Designated Information Upon Conclusion of Action:</u> Upon conclusion of this action, including all appeals, the Designating Party(ies) is/are responsible for

ensuring that any party or person to whom the party shared or disclosed Designated Information

in this action returns or destroys all of its copies, regardless of the medium in which it was

stored. Within 60 days after the later of dismissal of this action or expiration of all deadlines for

appeal, the Receiving Party(ies) must certify to each Designating Party that all designated

information hereunder has been destroyed by all parties and witnesses for whom that party is

responsible. No witness or party may retain Designated Information that it received from any

other party or non-party under this Order; only counsel of record are the authorized agents who

may retain one copy for their respective legal files, and who must also describe to the

Designating Party the extra steps taken to seal its legal file containing paper and/or electronic

copies of the Designated Information so that it is not accessed, used, or disclosed inconsistently

with the obligations under this Order. This provision does not apply to the Court or Court staff.

B. <u>Continued Restrictions Under this Order:</u> The restrictions on disclosure and use

of Designated Information survive the conclusion of this action.

VIII. REQUESTS TO SEAL

This protective order does not authorize a party to file or maintain a document under seal.

Any party that seeks to file any document, or any portion of a document, under seal, and any

party that opposes its maintenance under seal, must comply with N.D. Ind. L.R. 5-3.

IT IS SO ORDERED this ____ day of ______, 2020.

UNITED STATES DISTRICT COURT

9

EXHIBIT A UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF INDIANA FORT WAYNE DIVISION

LIGTEL COMMUNICATIONS, INC.,	
Plaintiff,	
v.	Case No. 1:20-cv-00037-HAB-SLC
BAICELLS TECHNOLOGIES INC.; BAICELLS TECHNOLOGIES NORTH AMERICA INC.,	
Defendants.	

UNDERTAKING PURSUANT TO CONFIDENTIALITY ORDER

Describe relationship to the party submitting the undertaking

I, _____, the undersigned, declare and say:

1.

am employed by as				
1 0 0	Employer		Position	
My business address is _		, telephor	ne number	·
2. I acknow	ledge having been prov	vided with and	having read the	"Stipulated
Protective Order" entered	d by the Court in this ma	atter (the "Protec	tive Order"). I ag	ree that I (i)
am bound under the Pro	tective Order, (ii) will c	comply with all	of its provisions,	and (iii) am
subject to the jurisdiction	on of the Court for all	purposes arising	g under the Prote	ctive Order,
including enforcement of	its terms.			

- 3. I am informed and understand, and therefore acknowledge, that I may be subject to contempt of court or any other penalties authorized by law or statute if I fail to comply with each of the provisions of the Protective Order.
 - 4. I consent to and accept, generally and unconditionally, the jurisdiction of the

USDC IN/ND case 1:20-cv-00037-HAB-SLC document 30-1 filed 03/17/20 page 11 of 11

United States District Court for the Northern District of Indiana in the matter entitled *LigTel Communications, Inc. v. Baicells Technologies, Inc., et al.* for the enforcement of the provisions of this Protective Order.

I declare under penalties of perju	ry that the foregoing is true and correct.	
Executed on	at	
	Declarant	